

**CHAPTER 1****GENERAL INFORMATION****A. PURPOSE**

1. In accordance with DoD Directive 5100.76 (reference (f)), this Manual prescribes standards and criteria for the physical security of DoD sensitive conventional arms, ammunition, and explosives (AA&E), including nonnuclear missiles and rockets in the custody of any DoD Component or DoD contractor, as set forth below and in Appendix A. Specific guidance issued by the DoD Components shall be furnished to the ASD (C3I) within one (1) year.

2. The standards and criteria in this Manual are intended to protect against loss or theft of AA&E at most DoD activities and DoD contractors; however, the threat or characteristics of a particular location may warrant additional security measures subject to approval by the DoD Component concerned. The DoD Components shall establish procedures to review all military construction projects to ensure they meet prescribed construction criteria. This Manual does not authorize methods or operations inconsistent with DoD 6055.9-STD (reference (g)).

**B. SCOPE**

1. This Manual applies to sensitive conventional AA&E considered vulnerable to criminal theft under the criteria of Appendix A and as defined as follows:

a. Arms, weapons that will, or are designed to, expel projectiles or flame by the action of an explosive, and the frames or receivers of any such weapon.

b. Ammunition, as defined in the definitions section and in general having a unit issue or package weight of 100 pounds or less (specified in Appendix A). Ammunition excluded from the requirements of this Manual are:

(1) Nuclear Weapons;

(2) Devices charged with chemical agents, except for those specified in Appendix A;

(3) Blank, .22 caliber and inert training ammunition; and,

(4) Artillery, tank, mortar ammunition 90mm and larger, and naval gun ammunition 3 inches, 76mm, and larger.

c. Explosives are defined in the definitions section. The scope of this Manual is limited to categorized explosives specified in Appendix A.

3. AA&E items covered by this Manual shall be stored and transported in accordance with this Manual.

4. The DoD Components shall prescribe physical security requirements for AA&E items outside the scope of this Manual as described in DoD 5200.8-R (reference (h)).

5. The criteria in this Manual are intended for sites where AA&E is maintained during peacetime conditions, and not for military operations, force generations, exercises, or operational readiness inspections. For sites and operations not specifically covered in this Manual, the DoD Components shall establish requirements and procedures to provide protection for AA&E consistent with the philosophy in this Manual.

C. RESPONSIBILITIES

1. The Secretaries of the Military Departments and the Director, Defense Logistics Agency, shall:

a. Ensure that AA&E in their custody are protected in accordance with the requirements of this Manual.

b. Ensure that contracts involving Categories I through IV AA&E (as defined in Appendix A) at contractor facilities describe the standards of protection to be provided to these assets in accordance with Appendix D of this Manual and ensure by contractual clause access to prime and subcontractor facilities to enable the Government to conduct security surveys, inspections and investigations.

c. Provide cognizant Defense Investigative Service (DIS) industrial security offices copies of each new AA&E contract or pertinent extracts (contract physical security standards) that involve the categories of AA&E listed in Appendix A, at the time of the contract award. Provide copies of existing contracts or pertinent extracts at the time of contract renewal. A listing of Government-Furnished Equipment (GFE) shall be included as part of the pertinent extracts from AA&E contracts.

d. Notify the cognizant DIS industrial security office when preaward surveys are required to allow for their participation in the survey, as necessary. A list of DIS cognizant security offices and their addresses is provided in Appendix D.

e. Ensure that shipment of all categories of AA&E to a DoD facility from a contractor or

subcontractor facility meets the provisions of this Manual.

f. Ensure that all Categories of AA&E are shipped to contractors or subcontractors in accordance with this Manual.

g. Ensure that AA&E risk categories (I, II, III, IV) are reflected in all applicable AA&E prime and subcontracts in accordance with the criteria outlined in Appendix A to guarantee correct identification and complete understanding by DIS industrial security inspectors, administrative contracting officers (ACOs), and prime and subcontractors concerned.

h. Advise cognizant DIS industrial security offices of actions taken by contractors, subcontractors, Government procuring officers or Government contract administration officers in response to DIS AA&E inspection deficiencies and recommendations.

2. The Director, Defense Investigative Service (DIS), shall:

a. Assess contract compliance by conducting security surveys and inspections of contractors within the United States, prescribed in Appendix D.

b. Provide to the appropriate Government contract administration office, Government procurement contracting office, and DoD Component Major Command the results of such surveys and inspections.

c. Undertake assessment of contract compliance only when DIS or Federal entry authority is contractually present as required by paragraphs C.1.b and c., above, and the physical security requirements of Appendix D are established contractually.

d. Not enter contractor facilities unless the actions required in paragraphs C.1 b., c., d., and h., above, have been accomplished.

3. Inspector General. DoD  
shall: Monitor compliance by Defense Criminal Investigative Organizations for reporting and investigative requests of this Manual.

4. Heads of DoD Components  
shall: Implement the requirements of this Manual.

D. INFORMATION REQUIREMENTS

All incidents about the confirmed loss, theft, and discovery of AA&E shall be reported in accordance with Chapter 8. This reporting requirement has been assigned Report Control Symbol DD-C31(SA&AR)1358.